

HANDBOOK INTERPRETATION INSTRUMENT 2004

Commencement

- A. This instrument comes into force on 1 August 2004.

Amendments to the General provisions

- B. The General provisions are amended in accordance with Annex A to this instrument.

Amendments to other modules

- C. The Threshold Conditions, the Credit unions sourcebook and the Recognised Investment Exchanges and Recognised Clearing Houses sourcebook are amended in accordance with Annex B to this instrument.

Citation

- D. This instrument may be cited as the Handbook Interpretation Instrument 2004.

Made under the authority of the Board

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Chief Counsel, EU and Handbook
General Counsel's Division
15 July 2004

ANNEX A

Amendments to GEN

In this Annex, underlining indicates new text and striking through indicates deleted text.

- 2.1.5 D This chapter applies to every *person* to whom a direction or requirement in the *Handbook* (~~that is, a provision with the status letter 'D' in the margin or heading~~) applies as if the *rules* in this chapter were part of that direction or requirement.
- ...
- 2.2.5 G ~~Paragraphs 18 to 31~~ Chapter 6 of the Reader's Guide contains an explanation of the significance of the status letters R, D, P, C, E and G, and includes further information on *evidential provisions*.

ANNEX B

Amendments to other modules

In this Annex, underlining indicates new text and striking through indicates deleted text.

Amendments to the Threshold Conditions (COND)

2.1.1 ~~G~~ D

...

2.2.1 ~~P~~ D ...

...

2.2A.1 ~~G~~ D ...

...

2.3.1 ~~P~~ D ...

...

2.4.1 ~~P~~ D ...

...

2.5.1 ~~P~~ D ...

...

2.6.1 ~~G~~ D ...

Amendments to the Credit unions sourcebook (CRED)

Insert the following as CRED 2.4.12 G:

2.4.12 G The letter D is also used to indicate non-*FSA* legislative material, namely directly applicable EU and UK materials, such as directives, statutes and regulations. In such cases, the source material is clearly indicated.

Amendments to the Recognised Investment Exchanges and Recognised Clearing Houses sourcebook (REC)

1.2.2 G (1) Most of the provisions in this sourcebook are marked with a G (to indicate *guidance*) or an R (to indicate a *rule*). ~~In addition, there are q~~ Quotations from statute or statutory instruments which are not marked with ~~any~~ the letter "D" unless they form part of a piece of guidance. For

~~a **A** discussion of the status of provisions marked with a letter, see Chapter 6 of the Reader's Guide. and advice on all aspects of the structure, format and use of all parts of the Handbook (including this sourcebook), is included in the Reader's Guide.~~

2.2.1	<u>PD</u>	...
2.3.1	<u>PD</u>	...
2.3.2	<u>PD</u>	...
2.4.1	<u>PD</u>	...
2.4.2	<u>PD</u>	...
2.5.1	<u>PD</u>	...
2.5.2	<u>PD</u>	...
2.6.1	<u>PD</u>	...
2.6.2	<u>PD</u>	...
2.7.1	<u>PD</u>	...
2.7.2	<u>PD</u>	...
2.8.1	<u>PD</u>	...
2.8.2	<u>PD</u>	...
2.9.1	<u>PD</u>	...
2.9.2	<u>PD</u>	...
2.10.1	<u>PD</u>	...
2.10.2	<u>PD</u>	...
2.11.1	<u>PD</u>	...
2.11.2	<u>PD</u>	...
2.12.1	<u>PD</u>	...
2.12.2	<u>PD</u>	...
2.12.3	<u>PD</u>	...
2.13.1	<u>PD</u>	...
2.13.2	<u>PD</u>	...

2.14.1	<u>PD</u>	...
2.14.2	<u>PD</u>	...
2.15.1	<u>PD</u>	...
2.15.2	<u>PD</u>	...
2.16.1	<u>PD</u>	...
2.16.2	<u>PD</u>	...
2.17.2	<u>PD</u>	...
2.17.3	<u>PD</u>	...
6.3.2	<u>PD</u>	...