

**SUPERVISION MANUAL (PERMITTED REPORTING SYSTEMS)
INSTRUMENT 2005**

Powers exercised

- A. The Financial Services Authority makes this instrument in the exercise of the powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 138 (General rule-making power);
 - (2) section 156 (General supplementary powers);
 - (3) section 157(1) (Guidance); and
 - (4) paragraph 17 of Schedule 1 (Fees).
- B. The rule-making powers listed above are specified for the purposes of section 153(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 17 November 2005.

Amendments to the Supervision manual

- D. The Supervision manual is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Supervision Manual (Permitted Reporting Systems) Instrument 2005.

By order of the Board
17 November 2005

Annex

Amendments to the Supervision manual

Permitted reporting systems

17.7.8 R The reporting systems referred to in *SUP* 17.7.1R are:

- (1) CEDCOM system operated by Clearstream Banking AG, Frankfurt;
- (2) ~~CGO Central Gilt Office~~ [deleted];
- (3) CRESTCo Limited;
- (4) EUCLID operated by Euroclear SA (input directly into EUCLID or through SWIFT);
- (5) the *FSA's* Direct Reporting System;
- (6) SEQUAL 2000 system of Thomson Financial Services;
- (7) ~~Tradepoint Financial Networks Plc~~ [deleted];
- (8) Trade Registration System of the London International Financial Futures and Options Exchange (LIFFE);
- (9) TRAX system of the International Securities Market Association; ~~and~~
- (10) ~~Jiway Limited~~ [deleted];
- (11) Virt-x; and
- (12) the *FSA's* Transaction Reporting System.

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20.5 Transaction reporting fees

20.5.1 G *Firms* which are required to report transactions under *SUP* 17 (Transaction reporting) may, in certain circumstances, use the *FSA's* Direct Reporting System or the *FSA's* Transaction Reporting System (see *SUP* 17).

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20.5.3 R A *firm* which reports its reportable transactions to the *FSA* using the *FSA's* Direct Reporting System or the *FSA's* Transaction Reporting System (see *SUP* 17) must pay the fees specified in Part 1 of *SUP* 20 Annex 3R.

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SUP 20 Annex 3R Transaction reporting fees and Article 54 RAO certificate fees for the period from 1 April 2005 to 31 March 2006

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(1)	Firms using the Direct Reporting System software <u>or the Transaction Reporting System</u> will be additionally invoiced for:	
	(a)	an initial software licence fee of £587.50 (including VAT) <u>for users of the Direct Reporting System; and</u>
	(b)	an annual enrolment fee of £235 (including VAT) per licence held on 1 April each year <u>for users of the Direct Reporting System and the Transaction Reporting System; and</u>
	(c)	an annual enrolment fee of £235 (including VAT) per registration <u>held on 1 April each year for users of the Transaction Reporting System.</u>
(2)	...	

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